

# RAK Capital Ltd.



A CORPORATE MEMBER OF CHITTAGONG STOCK EXCHANGE LTD.
TREC Holder No.# 028, BSEC Registration No. 3.2/028/2010/257
FULL SERVICE DEPOSITORY PARTICIPANT (DP) OF CDBL

## **ACCOUNT OPENING FORM**

Name:	
Joint Name :	
Customer Account No. :	
B O ID No.: 1 2 0 5 0 2 0 0	
Mailing Address :	
Name of Branch:	
Phone: E-ma	1:

Corporate Office: C & F Tower, (6th floor), 1712 (New)SK. Mujib Road, Agrabad, C/A, Chittagong.

Ph: +88031-717530, Fax: +88031-717529, Cell: +8801979-997711

E-mail: hrm@rakcapitalbd.com, accounts@rakcapitalbd.com

**Uttara Office : RAK Tower**, 11th Floor, Plot # 1/A, Jashim Uddin Avenue, Sector # 3 Uttara, Dhaka-1230. Tel : 02-58951867, Fax : 02-58950869, E-mail: admin@rakcapitalbd.com

[SEC Rule 8 (1), (CCC)]

# **RAK Capital Ltd.**

## **Customer Account Opening Form**

Photograph of its Account holder duty attested by the Introducer

Account Status : Individua	ıl Joint	Organization	Aco	count Type : Cash	Margin			
Account Category: Local NRB Foreign Joint Venture								
	11112			ni venture				
Date://	Account No	0.						
BO ID No.	2 0 5 0	2 0	0					
PARTICULARS OF	PARTICULARS OF PRINCIPAL APPLICANT							
Full Name (in block letters):								
Father's/Husband's Name	:							
Mother's Name:								
Spouse's Name (if applica	ble):							
Date of Birth:	Sex	k: Male	Female	Profession:				
Nationality:	NID No. :			TIN No. (if any)	:			
Passport No. :	Place of is	ssue :		Date of issue :				
Bank Name:	Branc	eh:	Bank A/C N	No.:				
Present Address:			Permar	ent Address:				
Phone:	Fax:		Phone:	Fa	x:			
Mobile:	E-mail:		Mobile:	E-ma	il:			
In case of an Organization	n, Name of CEO/ MD	):						
Organizational Status:			TIN	No.:				
Registration No.:			Dat	e of Incorporation:				
PARTICULARS OF	JOINT APPLI	CANT						
Full Name (in block letters	3):				Dhatamanh af iaint			
Father's/Husband's Name	:				Photograph of joint			
Mother's Name:					Account Holder duty			
Spouse's Name (if applical	ble):				attested by the			
Relationship with the Prine	cipal Applicant:				Introducer			
Date of Birth:	Sex: Male	Female	Profession	on:				
Nationality:	NID No.:			TIN No (if any)	):			
Passport No. :	Place of is	ssue :		Date of issue :				
Present Address:		77 -	Permaner	nt Address:				
Phone:	Fax:		Phone:		Fax:			
Mobile:	E-mail:		E-mail:					
SPECIAL INSTRUC		Z)						
DI ECIAL INSTRUC	11010 (II. WIA)	,						

PARTICULAI	RS OF AUTHORI	ZED PERSON (IF AN	NY)		
Full Name (in bloc	ck letters):				Photograph of
Father's /Husband's					Authorised Person duly
Mother's Name:					attested by the Account
Address:					Holder
Phone:		Fax:			
Mobile:		E-mail:			
PARTICULAI	RS OF NOMINEE		Signature	of the Authoriz	zed person with date
Particulars	Nominee 1	Nominee 2			
Name &					1
Address:				ninee-1	Nominee-2
			(Pic)	) [!	(Pic)
					1
Date of Birth:					1
Relation:					
Share (%)					
<u> </u>			-		ignature of Nominee 2
If nominee is minor	r, name and relationship	of legal guardian:	Or Legal G	Juardian O	Or Legal Guardian
Whether the Custon	ner (s) is /are an Office	r or Director of any Stock Ex	xchange/ Listed Comp	any? Yes	No
If yes, please fill u	up the following particu	dars:			1
Name & Address of	of the Stock Exchange/	Listed Company	Rela	ationship	
	he information furnished	rticulars are given ab <mark>ov</mark> e requ d above are true and if anythi	ing wrong I /We shall	be held respons	
PARTICULARS C	OF INTRODUCER				
Account No. (if an	A .	Ic	certify that I have know	/n	
Name and Address	• .				
Tranic and			or the past		•
					ress and other particulars
		els	sewhere in the applicati	ion.	
			Citurn of	1	·
TOP OPPICIAL I	ORTH BY		Signature of	Introducer wit	th date
FOR OFFICIAL U	JSE ONLY				
A/C Open Date :		ATI	Account No:		
Account may be op	pened	API	14		
Remarks/Note :					
1					
Checked by		Recommended by	Signature	of Approving	Authority with date

DEED OF AGREEMENT This deed of agreement is made and executred in Dhaka /Chittagong on.... RAK Capital Ltd. a corporate Member of Chittagong Stock Exchange Ltd. having its Corporate Office at C & F Tower, (6th floor), 1712 (New0 SK. Mujib Road, Agrabad C/A Chittagong., Bangladesh, of the FIRST PART.

AND Hereinafter referred to as Individual / Limited Company/ Public Limited Company (client) who is an investor/seller/buyer of shares, stocks, debentures, debenture stocks, bonds and other securities of the SECOND PART. Both the parties are agreed on the following terms and conditions: "BROKER" shall mean RAK Capital Ltd.
"BROKER" shall mean the person or company who intends to buy securities through the broker.
"Purchase Order" means the order to be given by the buyer to the broker for purchase of shares.
"Seller" shall mean the person or persons or company who intends to sell his/her/their securities through the brokers.
"Securities Account" shall mean the account opened by the seller/buyer with the broker to sell/buy securities.
"Settlement Day" shall mean the days declared by the Stock Exchange, on which transactions carried out by the Broker on behalf of the seller/buyer at the Stock Exchange are cartical/seleared with the Stock Exchange. settled/cleared with the Stock Exchange.
"CDBL" shall mean Central Depository Bangladesh Limited. SALE ORDER The seller shall deliver to the broker valid and negotiable documents i.e. transfer documents duly completed signed by the seller together with relative securities certificates with valid title, prior to placing a sale order.

If for any reason whatsoever securities delivered by the seller turns out to be forget, invalid, worn out, torn or defaced, the defaulting seller shall be liable to his broker for any loss or damage sustained or incurred, The defaulting seller shall be liable to replace such securities along with all benefits attributable to such securities within two day discretion, to square-up the transaction commencing from market day after the stipulated period (as above), at the seller risk and the seller shall be liable to the broker for any loss or damage sustained or PAYMENT TO SELLER The Broker shall make payment to the seller on the settlement day, subject to the overall cash balance of the seller's "Securities Account" PURCHASE ORDER The Buyer shall pay the broker total value of the purchase order in the buyers "Securities Account" prior to placing a purchase Order. PAYMENT BY BUYER The buyer shall pay his broker on or before the settlement day, the balance amount (if any), including change of all securities purchased by him/her during the period of dealing with that settlement. If the buyer defaults for whatever reason, he/she shall be liable to his/her broker for all losses or damages sustained or incurred. In addition, to adjust the outstanding amount (if any), the broker shall have the absolute discretion to resell the securities commencing from the market day after the day of settlement at the buyer's risk and the buyer shall be liable to the broker for any losses or damages sustained or incurred. SETTLEMENT THROUGH CDBL Since CDBL is involved in the settlement process, client should follow the under rules. Client must maintain a Beneficiary Owner Account (B.O Account) with any depository participant and the client must inform the broker of his/her B.O Account Number along with Before placing any sell order/s, the client must transfer his/her shares from the clients B.O Account to the broker's Clearing Account with related instructions.

Client/s will pay the charges of CDBL, for transfer/s of securities from the clients' B.O Account to the broker's Clearing Account and from the Clearing Account to the B.O Account. Broker reserves the absolute right to deduct the charges at source where applicable related client's CDBL operation. Client will be liable for any losses or damages incurred or sustained due to wrong or incorrect information related to CDBL given by the client. GOVERNING LAW All transaction shall be subject to the rules and regulations of the Securities and Exchanging Commission/Dhaka Stock Exchange Limited and other prevailing laws and regulations of Bangladesh and in particular the authority hereinafter granted by the client to the broker. Broker reserves the absolute right to sale/buy/make adjustments/transfer any shares and securities at the client's risk in order to set off all losses, damages, and debit amount, shares and ecurities of the "Client Account". Securities of the Chefit Account.

Client shall be bound to pay.......brokerage charges to the broker for buying /selling of shares and securities

Broker shall have the right to change/modify the terms and conditions if necessary, without any notification to the client.

We hereby accept your above terms and conditions and declare that the information given is true and correct. brokerage charges to the broker for buying /selling of shares and securities and the broker can charge such charges from time to time. 1st Applicant 1. Present Address:.... 2. Permanent Address: ..... Signature 2nd Applicant Present Address :......

Seal & Signature of Approving Authority

2. Permanent Address:

Signature

#### **CDBL Bye Laws**

## **BO Account Opening Form**

Form 02

(Bye Law 7.3.3(b) Please complete all details in CAPITAL letters. Please fill all names correctly. All communication shall be sent only to the First Named Account Holder's correspondence address. Please Tick whichever is applicable BO Type: Individual Omnibus Clearing Company Joint Holder BO Category : Regular Name of CDBL Participant (Up to 99 Characters) RAK Capital Ltd. Date Account Opened (DDMMYYYY) 2 0 5 0 2 0 0 5 0 2 0 0 1 I/We request you to open a Depository Account in my/our name as per the following details: 1. First Applicant Name in full of Account Holder (Up to 99 Characters)..... Short Name of Account Holder (Insert full name starting with Title I.e.Mr./Mrs.Ms./Dr. abbreviate only if over 30 characters) (In case of a Company /Firm/Statutory Body) Name of Contact Person..... In case of Individual Male Female Occupation (30 Characters)..... Father's/ Husband's Name..... Mother's Name..... 2. Contact Details: City.......Post Code.....State/Division.....Country.....Telephone...... 3. Passport Details: 4.Bank Details: Bank Name.......Branch Name......Account No..... Electronic Dividend Credit: Yes No Tax Exemption if any: Yes No. | TIN/Tax ID:..... 5. Others Information: Non Resident Residency: Resident Statement Cycle Code Daily Weekly Fortnightly Monthly Other (Please Specify)...... Internal Ref. No. (To be filled in by CDBL Participant) In Case of Company: 6. Joint Applicant (Second Account Holder) Name in full(Up to 99 Characters)..... 7. Account Link Request

Would you like a to create link to your existing Depository Account? Yes I yes, then please provide the Depository BO Account Code (8 Digits):

### 8. Nominees/Heirs

account holder (s) wish to nominate person (s) who will be entitled to receive securities outstanding in the account in the event of the death of the sole account holder/all the joint account holders, a separate nomination Form-23 must be filled up and signed by all account holders and the nominees giving names of nominees, relationship with first account holder, percentage distribution and contact details. If any nominee is a minor, guardian's name, address, relationship with nominee has also to be provided.

#### 9. Power of Attorney (POA)

If account holder(s) wish to give a power of Attorney (POA) to someone to operate the account, a separate Form-20 must be filed up and signed by all account holders giving the name, contact details etc. of the POA h holder and a POA document lodged with the form.

10. To be filled in by the Stock Broker/Stock Exchange in case the application is for opening a Clearing Account.

Exchange Name DSET	rading ID	CSE [		Trading ID
11. Photograph				
Please paste rec passport Size Photogr of 1st Applicant Authorized Signatory case of Limited Only.	Or in	Please paste recent passport Size Photograph of 2nd Applicant Or Authorized Signatory in case of Limited Co. Only		Please paste recent passport Size Photograph of Authorized Signatory in case of Limited Co. Only
1 <sup>st</sup> Applicant Or Authorized Co. Signatory in case of Ltd Co		2 <sup>nd</sup> Applicant Or Authorized Signatory in case of Ltd Co.		Authorized Signatory in case of Ltd
12. Standing Instructions				
I/We authorize you to receive Fa	csimile (fax)	transfer instructions for deliver	ery. Yes	No.
13. DECLARATION				
The rules and regulations of the I	Depository and	d CDBL Participant pertaining	g to an a	ccount which are in force now have been
•				be bound by the rules as are in force from
			•	s are true to the best of my/our knowledge as
on the date of making such appli- any material fact will render my/o				information given by me/us or suppression of
any material fact will render my/				
Applicant	Na	me of applicants/Authorized s in case of 1td. Co.	ignatorie	es Signature with date
First Applicant				
Second Applicant				
3 <sup>rd</sup> Signatory (Ltd. Co. only)				
14. Special Instructions on oper	tstion of Join	t Account		
Either of Survivor		Any one can operate		any two will operate jointly
-	ng by			with any one of the others.
15. Introduction	1 /1	DAK C. '	-1 T 4	1
Introduction by and existing acc	count holder o	RAK Capit	ai Lt	O.  Depository Participant's Name
I confirm the identity, occupation	on and address	of the applicant(s)	•••••	
		Account ID		Introducer Name
(Signature of Introducer)				

CDBL Bye Laws Form 20

## **Power of Attorney (POA) Form**

(CDBL Bye Laws)

Please complete all details in CAPITAL letters. All communications shall be sent to the correspondence address of on
the First Named Account Holder as specified in BO Account Opening Form-02

the Phst Named Account Holder as specified in BO Account Opening Form-02
Application No
Name of CDBL Participant (Up to 99 Characters)  CDBL Participant ID
RAK Capital Ltd.
Account Holder BO ID 1 2 0 5 0 2 0 0
Name of Account Holder (Insert full name starting with Title i.e./Mrs.Ms/Dr. abbreviate only if wver 30 characters')
Paragraph Attantan Haldarda Datada
Power of Attorney Holder's Details Name in Full
Short Name of A count Haller (Locat full name starting with Title in (May Ma/D); althought a place if you 20 about and
Short Name of Account Holder (Insert full name starting with Title i.e./Mrs.Ms/Dr. abbreviate only if over 30 characters')
Power of Attorney Holder's Contact Details :
Address
CityPost CodeState/DivisionCountryTel
MobileE-mail
Power of Attorney Holder's Passport Details :
Passport No
Passport No
Others Information of Power of Attorney Holder:
Residency : Resident Non Resident Nationality
Power of Attorney Effective From
DD MM YYYY DD MM YYYY
Remarks (Insert reference to POA document i.e. Specific POA or General POA etc)

CDBL Bye Laws	Form 20
Photograph of Power of Attorney Holder:	
	Please paste recent passport size photograph
	(POA Holder)

### **DECLARATION:**

The rules and regulations of the depository and CDBL Participant pertaining to an account which are in force now have been read by me /us and I/we have understood the same and I/we agree to abide any and to be bound by the rules as are in force from time to time for such accounts. I/we also declare that the particulars given by me/us are true to the best of my/our knowledge as on the date of making such application. I/we further agree that any false/misleading information given by me/us or suppression of any material fact will render my/our account liable for termination and further action.

	Name of applicants/ Authorized Signatories in case of Rd. Co.	Signature with date
POA Holder		
First Account Holder		
Second Account Holder		
3 <sup>rd</sup> Signatory (Ltd. Co. only)	ADITA	T

## **BO Account Nomination Form**

## (CDBL Bye Laws)

Please complete all details in CAPITAL letters. All communications shall be sent to the correspondence address of only the First Named Account Holder as specified in BO Account Opening Form-02

					CDBL	Particip	ant II	$\overline{\Gamma}$	5	C	)	2	0		0
RAK Capital	Ltd	•				•		_							
Account Holder BO ID 1	2 0		0	2	0	0	$\top$	Т		I				Г	$\neg$
Name of Account Holder (Inse							/Is/Dr	abl	revia	te or	ıly i	if over	30 cł	∟ narge	 cters'
		$\top$	Т					Т						Ť	
I/we nominate the following person	on(s) who	/is/are e	ntitle	ed to re	ecevie s	ecurities	outsta	ndir	ng in r	ny/ot	ır ac	count	in the	even	t of th
death of the sole holder/all the	joint hold	ers.								•					
Naminas / Hains	Datail	~~													
Nominee / Heirs	Detail	is:													
Nominee 1:											A				
Name in Full															
Short Name (Insert full name s							_								
Relationship with A/C Holder															• • • • • • • • • • • • • • • • • • • •
Address														•••••	•••••
CityPost C															
Mobile															
Passport No													Date	•••••	
Residency: Resident Non R		_				Date o	of Birt	n(Dl	OMM	YYY	Y)	$\Box$			$\perp \perp$
Guardian's Details (i	f Nomi	nee i	s M	lino	r)										
Name in Full												-			
Short Name (Insert full name	e starting	with T	itle	i.e./N	Irs.Ms/	Dr. abb	revia	te o	nlv if	ove	r 30	) char	acter	s')	
Short Name (Insert full name									Ī						aturits
Relationship with Nominee		Da	ite of	f Birth	of Mi	or (DD	MMY	YYY	Υ				• • • • • • • • • • • • • • • • • • • •	Ma	
Relationship with Nominee date of Minor		Da	ite of	f Birth	of Mi	or (DD	MMY	YYY	Υ				••••••	Ma	•••••
Relationship with Nominee date of Minor Address		Da	ite of	f Birth	of Min	MMYY	MMY YY).	·····	Y					Ma	
Relationship with Nominee  date of Minor  Address  CityPost	Code	Da	Sta	f Birth	of Min(DD	MMYY	YYY).	YYY	Y	itry			 el	Ma	
Relationship with Nominee  date of Minor  Address  CityPost  Mobile	CodeFax	Da	Sta	f Birth	of Min(DD	MMYY	YYY).	YYY	Cour	itry		T	el	Ma	
Relationship with Nominee  date of Minor  Address  CityPost	CodeFax	Da	Sta	f Birth	of Min(DD	MMYY	YYY).	YYY	Cour	itry		T	el	Ma	
Relationship with Nominee  date of Minor  Address  CityPost  Mobile	CodeFax	Da	Sta	f Birth	of Min(DD	MMYY	YYY).	YYY	Cour	itry		T	el	Ma	

## **CDBL Bye Laws**

Form 20

Nominee 2										
Name in Full										
Short Name (Insert full name star	rting with Title i.e./M	rs.Ms/Dr. ab	breviate o	only if o	ver 30	char	acters'	) Title	i.e. M	r./Mrs
Relationship with A/C HolderPercentage (%)										
Address										
CityPost Coo	deState	/Division		C	ountr	y		Ге1		
MobileFa	ax	E	-mail							
Passport No	Issue Place		Issue d	late			Expir	y Date	<b></b>	
Residency : ResidintNon R	esidintNation	nality	I	Date of 1	Birth(	DDM	1MYY	YYY)		
Guardian's Details (if Nomi	nee is Minor)									
Name in Full										
Short Name (Insert full name	o stauting with Titl	o i o /Mwa N	/Ia/Dw ol	h h morris	.t	.1 :£	ovion.	20 ab	owo ot	oma!)
							/			
Relationship with Nominee										
date of Minor		,								
Address						4			• • • • • • • • •	
CityPost C						-				
Mobile	Fax		E-ma	i1	•••••					
Passport No	.Issue Place	Iss	ue date			.Expi	ry Da	te		
Residency: Resident Non Re	esident Nationali	ity		. Date of	Birth	(DDI	MMY	YYY).		
Photograph of Nor	minee /Heirs	:								
						Г				
Please paste recent	Please paste rece	ent F	Please pa	iste rec	ent		Plea	se pas	ste rec	ent
passport size	passport size			ort size			_	_	rt size	
Photograph	Photograph	A	Photo	ograph			F	Photo	graph	
N MI . 1	Nominee/Heir 2		Guardia	1		L		C.1.0#/	dian 1	
Nominee/Heir 1	Nominee/Heir 2		Guardia	n 1				Juar	11an 1	
		Name		A			S	ignatı	ıre	
Nominee/Heir 1	A				н					
Guardian 1										
Nominee/Heir 2										
Nommee/Heir 2										
Cuardian 1					+					
Guardian 1 First Account Holder										

#### **Central Depository Bangladesh Ltd. (CDBL)**

#### Depository Account (BO Account) opened with RAK Capital Ltd.

#### Terms & Conditions Bye Law 7.3.3.(C)

RAK Capital Ltd. C & F Tower, (6th floor), 1712 (New) Sk. Mujib Road, Agrabad C/A, Chittagong, Bangladesh

Dear Sir.

Please open a Depository Account (BO Account) in my/our name(s) on the terms & conditions set out below. In Consideration of RAK Capital Led. C & F Tower, 96th floor), 1712 (New) SK Mujib Road, Agrabad C/A, Chittagong, Bangladesh (hereinafter called the RAK Capital Ltd, participant) opening the account providing depository account facilities to me/us, I/we have signed the BO account opening form as s token of acceptance of the terms & conditions set out below:

01. I/we agree to be bound by the depositories Act 1999, depositories regulations 2000, the depository (user) regulations' 2003, and abide by the bye laws and operating instructions issued from time to time by CDBL,

02. CDBL shall allocate a unique identification number to me/us (Account Holder BO ID) for the RAK Capital Ltd. Participant to maintain a separate account for ma/us, unless I/we instruct the RAK Capital Ltd. to keep the securities in an omnibus account of the RAK Capital Ltd, participant. The RAK Capital Ltd. shall however ensure that my/our securities shall not be mixed with RAK Capital Ltd, participant's own securities.

03. I/We agree to pay such fees, charge and deposits to the RAK Capital Ltd. as may be mutually agreed upon for the purpose of opening & maintaining my/our account for carrying out the instructions and for rendering such other services as are incidental or consequential to my/our holding securities in and transacting through the said depository account with RAK Capital Ltd.

04. I/We shall be responsible for:

- (a) The veracity of all statements and particulars out in the account opening form, supporting or accompanying documents.
- (b) The authenticity and genuineness of all certificates and or documents submitted to the RAK Capital Ltd. Participant along, with or in support of the account opening form or subsequently for dematerialization,
- (c) Title to the securities submitted to the RAK Capital Ltd. participant from time to time for dematerialization.
- (d) Ensuring at all times that the securities to the credit of my/our account are sufficient to meet the instructions issued to the RAK Capital Ltd. for effecting any transaction/transfer.
- (e) Informing the RAK Capital Ltd, at the earliest of any change in my/our account particulars such as address, bank details, status, authorizations, mandates, nomination & signature etc.
- (f) Furnishing accurate identification details whilst subscribing to any issue of securities.
- 05. I/We shall notify the RAK Capital Ltd. of any change in the particulars set out in the application form submitted to the RAK Capital Ltd. at the time of opining the account or furnished to the RAK Capital Ltd. participant form time to time at the earliest. RAK Capital Ltd. participant shall not be liable or responsible for any loss that may be caused to me/us by reason of my/our failure to intimate such change to the RAK Capital Ltd. participant at the earliest.
- 06. Where I/We have executed a BO Account Nomination Form
- (a) In the event of my/our death, the nominee shall receive /draw the securities held in my/our account.
- (b) In the event, the nominee so authorized remains a minor at the time of my/our death, the legal guardian is authorized to receive/draw the securities held in my/our account.
- (c) The nominee so authorized, shall be entitled to all my/our accounts to the exclusion of all other persons i.e my/our heirs, executors and administrators and all other persons claiming through or under me/us and delivery of securities to the nominee in pursuance of this authority shall be binding on all other persons.
- 07. I/we may at any time call upon the RAK Capital Ltd. to close my/our account with the RAK Capital Ltd. provided no instructions remain pending or unexecuted and on fees or charges remain payable by me/us to the RAK Capital Ltd. In such event I/we may close my/our account by executing the account closing Form if no balances are standing to my/our credit in the sc count. In case any balances of securities exist in the account, the account may be closed by me/us in one of the following ways:
- (a) By dematerialization of all existing balances in my/our account;
- (b) By transfer of all existing balances in my/our account to one or more of my/our other account(s) held with any other RAK Capital Ltd.
- (c) By dematerialization of a part of the existing balances in my/our account and by transferring the rest to one or more of my/our other account(s) with any other CDBL Participant(s);
- 8. CDBL Participant covenants that shall
- (a) Act only on the instructions or mandate of the Account Holder or that of such person(s) as may have been duly authorized by the account holder in that behalf.
- (b) Not effect any debit or credit to and from the account of the Account Holder without appropriate instructions from the Account Holder.
- (c) Maintain adequate audit trial of the execution of the instructions of the Account Holder.
- (d) Not honor or act upon any instruction for effective any debit to the account of the Account Holder in respect of any securities unless;
- i) Such Instructions are issued by the Account Holder under his/her signature or that of his/her/its constituted attorney duly authorized in that behalf;
- ii) The RAK Capital Ltd. is satisfied that the signature of the account Holder under which instruction are issued matches with specimen signature of the Account Holder or his/her/its constituted attorney available on the records of the RAK Capital Ltd.
- iii) The balance of clear securities available in the account holder's account is sufficient to honor the Account Holder's instructions.
- (e) Furnish to the Account Holder a statement of his/her/its Account at the end of every month if there has been even a single entry or transaction during that month, and in any event once at the end of each financial year. The RAK Capital Ltd. shall furnish such statements at such sorter period as may be required by the Account Holder on payment of such charges by the Account Holder as may be specified by RAK Capital Ltd. The Account Holder shall scrutinize every statement of account received from RAK Capital Ltd. for the accuracy and veracity thereof and shall promptly bring to the notice of RAK Capital Ltd. any mistake, inaccuracy or discrepancy in such statement
- (f) Promptly attend to all grievances/complaints of the Account Holder and shall resolve all such' grievances/complaints as they relate to matter exclusively within the domain of the RAK Capital Ltd. and shall for the with forward to and follow up with Central Depository Bangladesh Limited all other grievances/complaints of the account holder on the same being brought to the notice of the RAK Capital Ltd. and shall endeavor to resolve the same at the earliest.
- 09. The RAK Capital Ltd. shall be entitled to terminate the account relationship in the event of the Account Holder
- (a) Failing to pay the fees or charges as may be mutually agreed upon within a period of one month from the date of demand made in that behalf.
- (b) Submitting for dematerialization any certificate or other documents of title which are forged, fabricated, counterfeit or stolen or have been obtained by forgery or the transfer where of his restrained or prohibited by any direction, order or decree of any court of the Securities & Exchange Commission;
- (c) Commits or participates in any fraud or other act or moral turpitude in his/her/its dealing with the RAK Capital Ltd.
- (d) Otherwise misconducts himself/herself in any manner.

#### **Declaration and Signature**

10. I/We hereby acknowledge that I/we have read and understood the aforesaid terms & conditions for operating Depository Account (BO Account) with RAK Capital Ltd and agree to Comply with them.

Applicants	Full Name	Signature with date
First Applicant		
2nd Applicant		

## **Requirements for Opening of BO Account**

### **GENERAL**

- 1. BO Account opening fee of Tk. -----
- 2. Yearly maintenance fee of Tk. -----
- 3. Bank Statement and Certificate from Branch Manager
- 4. 3 (Three) copies of passport size photograph for individual and 3 (three) copies for joint holder, (total 6 (six) copies for individual & joint Account)
- 5. 2 (Two) Copies of passport size photograph for nominee (attested by the A/C holder).
- 6. Photocopies of passport/Nationality Certificate.
- 7. Photocopy of National ID Card.
- 8. Introduce by existing Account Holder.

#### **NRB**

- 1. BO Account opening fee of Tk. -----
- 2. Yearly maintenance fee of Tk. -----
- 3. Statement of F.C Account
- 4. Bank Certificate from Branch Manager
- 5. Attested photocopy of passport.
- 4. 3 (Three) copies of passport size photograph for individual and 3 (three) copies for joint holder, (total 6 (six) copies for individual & joint Account)
- 7. Photocopy of Work Permit.
- 8. Introduce by existing Account Holder.

### **CORPORATE**

- 1. BO Account opening fee of Tk. -----
- 2. Yearly maintenance fee of Tk. -----
- 3. Three copies of passport size attested photograph for every signatory.
- 4. Board Resolution
- 5. Memorandum and Articles of Association.
- 6. TIN/BIN Certificate.



# RAK Capital Ltd.



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